



EUROPEAN CENTRAL BANK

EUROSYSTEM

# AMI-SeCo Corporate Events Group

Presentation to  
AMI-SeCo

AMI-SeCo  
15/06/2022



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Co-Chairs of the Corporate Events Group

# Background

**The aim of today's presentation is to:**

1. Debrief the AMI-SeCo on CEG developments since the last AMI-SeCo meeting
2. Provide information on the forthcoming 2022 Monitoring Exercise



# CEG Developments

AMI-SeCo Corporate Events  
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# CEG Developments (1/2)

*Progress since December 2021 AMI-SeCo*

- **2 meetings of the AMI-SeCo CEG have taken place since the last AMI-SeCo meeting**
  - Another CEG meeting is scheduled for 30 June
- **In these meetings the CEG has discussed:**
  - Questions on the practical implementation of the Shareholder Identification (SI Standards)
  - A paper submitted by the Italian NSG
  - Validation checks to be performed upon receipt of a disclosure request
  - The application of SRD II to depositaries and trustees (based on an AGC paper)
  - The securities subject to disclosure requests in AMI-SeCo markets
- **The CEG anticipates that it will issue an FAQ document on the SI standards that will be submitted to the AMI-SeCo for approval in Q3/Q4**

# CEG Developments (2/2)

*Progress since December 2021 AMI-SeCo*

- **Establishment of CEG internal working procedures**
  - CEG Working Procedures document was finalised in Q1 2022.
- **Updated CEG membership list (for approval)**
  - An updated CEG membership list (taking into account, inter alia, some personnel changes in member firms) has been shared as part of the background documentation. AMI-SeCo is invited to approve the updated member list today.



# 2022 Monitoring Exercise

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# 2022 Monitoring Exercise

## *Timeline*

- The 2022 Monitoring Exercise will be launched on Monday 11 July
- Market stakeholders will have 8 weeks to respond to the survey (deadline: Friday 2 September)
- The response template remains largely the same as last year's (only minor enhancements have been introduced)
- The results of the exercise will be documented in the *2022 Corporate Events Compliance Report* which will be submitted to AMI-SeCo for approval in the December 2022 meeting