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**Germany-Frankfurt-on-Main: ECB - Provision of balance sheet, income statement and financial data  
2015/S 196-354136**

**Contract notice**

**Services**

Directive 2004/18/EC

**Section I: Contracting authority**

**I.1) Name, addresses and contact point(s)**

European Central Bank  
Sonnemannstraße 22  
For the attention of: Ramón van Deelen  
60314 Frankfurt-on-Main  
GERMANY  
Telephone: +49 6913440  
E-mail: [procurement@ecb.europa.eu](mailto:procurement@ecb.europa.eu)  
Fax: +49 6913447110

**Internet address(es):**

General address of the contracting authority: <http://www.ecb.europa.eu>

**Further information can be obtained from:** The above mentioned contact point(s)

**Specifications and additional documents (including documents for competitive dialogue and a dynamic purchasing system) can be obtained from:** The above mentioned contact point(s)

**Tenders or requests to participate must be sent to:** The above mentioned contact point(s)

**I.2) Type of the contracting authority**

European institution/agency or international organisation

**I.3) Main activity**

Economic and financial affairs

**I.4) Contract award on behalf of other contracting authorities**

The contracting authority is purchasing on behalf of other contracting authorities: no

**Section II: Object of the contract**

**II.1) Description**

**II.1.1) Title attributed to the contract by the contracting authority:**

Provision of balance sheet, income statement and financial data.

**II.1.2) Type of contract and location of works, place of delivery or of performance**

Services  
NUTS code DE712

**II.1.3) Information about a public contract, a framework agreement or a dynamic purchasing system (DPS)**

The notice involves the establishment of a framework agreement

**II.1.4) Information on framework agreement**

Framework agreement with several operators  
maximum number of participants to the framework agreement envisaged: 6

**Duration of the framework agreement**

Duration in months: 24

**II.1.5) Short description of the contract or purchase(s)**

The European Central Bank (ECB) is seeking 1 or more suppliers for the provision of balance sheet, income statement and financial data, and intends to award a framework contract to 1 or more suppliers per lot by awarding a framework contract per lot to the suppliers offering the best value for money, provided that multiple suppliers meeting all requirements are available per lot. The scope of the services to be provided is divided into 2 different lots:

— lot 1: balance sheet, income statement and financial data on financial corporations,

— lot 2: balance sheet, income statement and financial data on non-financial corporations.

The data for both lots are required to conduct the ECB's and ESCB's statutory tasks according to the Treaty on the Functioning of the European Union (TFEU) and the Statute of the European System of Central Banks and of the European Central Bank (Protocol No 4 to the TFEU, OJ C 326, 26.10.2012, p. 230) and banking supervision tasks according to Council Regulation (EU) No 1024/2013 of 15.10.2013 for end users in both the ECB and/or the ESCB and the participating NCAs.

**II.1.6) Common procurement vocabulary (CPV)**

72322000

**II.1.7) Information about Government Procurement Agreement (GPA)**

The contract is covered by the Government Procurement Agreement (GPA): no

**II.1.8) Lots**

This contract is divided into lots: yes

Tenders may be submitted for one or more lots

**II.1.9) Information about variants**

Variants will be accepted: no

**II.2) Quantity or scope of the contract**

**II.2.1) Total quantity or scope:**

The scope of the services to be provided is divided into 2 different lots. Each lot shall be awarded separately. Candidates may apply for 1 lot or for both lots. Selected candidates may submit an offer in the award phase of the procurement for 1 lot or for both lots. However, selected candidates may only submit an offer for the lot(s) for which they have applied in the selection phase of the procurement and for which they have been selected by the ECB as successful candidates. For each lot, the ECB aims at concluding a contract with at least 1 contractor. A contractor may conclude multiple contracts with the ECB, if there is 1 contract for each of the individual lots. The contract(s) will enter into force at the end of 2015 upon their signature by both parties and will have an initial duration of 2 years, with the possibility to extend twice, each for a duration of 1 year.

The data for both lots are required to conduct the ECB's and ESCB's statutory tasks according to the Treaty on the Functioning of the European Union (TFEU) and the Statute of the European System of Central Banks and of the European Central Bank (Protocol No 4 to the TFEU, OJ C 326, 26.10.2012, p. 230) and banking supervision tasks according to Council Regulation (EU) No 1024/2013 of 15.10.2013 for end users in both the ECB and/or the ESCB and the participating NCAs.

**II.2.2) Information about options**

Options: no

**II.2.3) Information about renewals**

This contract is subject to renewal: yes

Number of possible renewals: 2

In the case of renewable supplies or service contracts, estimated timeframe for subsequent contracts:  
in months: 24 (from the award of the contract)

II.3) **Duration of the contract or time limit for completion**

Duration in months: 24 (from the award of the contract)

**Information about lots**

Lot No: 1

Lot title: Balance sheet, income statement and financial data on financial corporations

1) **Short description**

The ECB requires access to balance sheet, income statement and financial data on financial corporations (e.g. banks and insurance companies).

2) **Common procurement vocabulary (CPV)**

72322000

3) **Quantity or scope**

As set out in the call for applications.

4) **Indication about different date for duration of contract or starting/completion**

Duration in months: 24 (from the award of the contract)

5) **Additional information about lots**

Lot No: 2

Lot title: Balance sheet, income statement and financial data on non-financial corporations

1) **Short description**

The ECB requires access to balance sheet, income statement and financial data on non-financial corporations.

2) **Common procurement vocabulary (CPV)**

72322000

3) **Quantity or scope**

As set out in the call for applications.

4) **Indication about different date for duration of contract or starting/completion**

Duration in months: 24 (from the award of the contract)

5) **Additional information about lots**

**Section III: Legal, economic, financial and technical information**

III.1) **Conditions relating to the contract**

III.1.1) **Deposits and guarantees required:**

No deposit required.

III.1.2) **Main financing conditions and payment arrangements and/or reference to the relevant provisions governing them:**

Payment arrangements in line with the terms and conditions of the contract. Please see the details in the tender documentation to be requested from the contact point indicated in Sections I.1 and VI.4.

III.1.3) **Legal form to be taken by the group of economic operators to whom the contract is to be awarded:**

The establishment of a temporary grouping of companies is allowed under the conditions laid down in the application/tender documentation. Temporary groupings do not need to have a specific legal form but will be jointly and severally liable for all obligations under the contract. Please see the details in the application/tender documentation to be requested from the contact point indicated in Sections I.1 and VI.4.

**III.1.4) Other particular conditions**

The performance of the contract is subject to particular conditions: no

**III.2) Conditions for participation**

**III.2.1) Personal situation of economic operators, including requirements relating to enrolment on professional or trade registers**

Information and formalities necessary for evaluating if the requirements are met: Please see the details in the application/tender documentation to be requested from the contact point indicated in Sections I.1 and VI.4.

**III.2.2) Economic and financial ability**

Information and formalities necessary for evaluating if the requirements are met: Those requested in the call for application.

Minimum level(s) of standards possibly required: The ECB reserves the right to exclude candidates if it becomes aware that they do not have the economic, financial, technical and professional capacity to perform the contract. In particular, with regard to the candidate's economic and financial status, the ECB may consult databases and credit scoring/rating agencies. Depending on the outcome hereof, the ECB may request additional information and/or clarification. In duly justified cases the ECB may hereafter request a financial guarantee or undertake other measures as the case may be.

**III.2.3) Technical capacity**

Information and formalities necessary for evaluating if the requirements are met:

Those requested in the call for application.

Minimum level(s) of standards possibly required:

(a) Candidates shall submit 6 reference contracts for each individual lot. Each reference contract shall be performed during the preceding 4 years (September 2011 to September 2015 inclusive), all reference contracts shall individually have a minimum duration of 24 months, and the scope shall be on the following:

— with regard to lot 1: provision of balance sheet, income statement and financial data on financial corporations (e.g. banks and insurance companies) (for further details on the scope, please refer to the call for applications, Chapter I, Section 1: 'Background and scope'),

— with regard to lot 2: provision of balance sheet, income statement and financial data on non-financial corporations (for further details on the scope, please refer to the call for applications, Chapter I, Section 1: 'Background and scope').

Candidates shall prove meeting this minimum requirement by completing Annex 2.1 to the call for applications: 'Experience lot 1' and/or Annex 2.2 to the call for applications: 'Experience lot 2' with 6 reference contracts, and submitting it to the ECB as part of the candidate's application.

Please note that one and the same reference client may be used for lot 1 and lot 2, provided that the scope of the reference contracts is in line with the scope of the particular lot in question, as set out above.

(b) Candidates shall have as a minimum the ability to provide client support and training, on site, online, as well as via a helpdesk in the English language, in Germany, in particular in Frankfurt-on-Main, Monday to Friday between 8:30 and 17:30, CET. Candidates shall prove meeting this minimum requirement by completing the self-declaration in Annex 1 (application form) to the call for applications, Section 4.2 ('Client support and training').

**III.2.4) Information about reserved contracts**

**III.3) Conditions specific to services contracts**

**III.3.1) Information about a particular profession**

Execution of the service is reserved to a particular profession: no

**III.3.2) Staff responsible for the execution of the service**

Legal persons should indicate the names and professional qualifications of the staff responsible for the execution of the service: no

#### **Section IV: Procedure**

##### **IV.1) Type of procedure**

###### **IV.1.1) Type of procedure**

Accelerated negotiated

###### **IV.1.2) Limitations on the number of operators who will be invited to tender or to participate**

Envisaged number of operators: 6

Objective criteria for choosing the limited number of candidates: Among the candidates meeting all the minimum requirements set out in Section III.2 ('Conditions for participation') of this contract notice (Chapter III, Section 4.2 of the call for applications), for each of the individual lots, the ECB shall select those candidates which best meet the following selection criteria:

(a) the candidate's general capacity with regard to experience and expertise, assessed on the basis of the 6 reference contracts that the company has performed during the preceding 4 years (September 2011 to September 2015 inclusive). For further details, please refer to the call for applications, Chapter III, Section 4.3(a);

(b) the candidate's general capacity to provide client support and training, on site, online, as well as via a helpdesk in the English language. For further details, please refer to the call for applications, Chapter III, Section 4.3(b).

###### **IV.1.3) Reduction of the number of operators during the negotiation or dialogue**

##### **IV.2) Award criteria**

###### **IV.2.1) Award criteria**

The most economically advantageous tender in terms of the criteria stated below

1. Quality. Weighting 5
2. Price. Weighting 90
3. Acceptance of the ECB's draft contract. Weighting 5

###### **IV.2.2) Information about electronic auction**

An electronic auction will be used: no

##### **IV.3) Administrative information**

###### **IV.3.1) File reference number attributed by the contracting authority:**

PRO-000967.

###### **IV.3.2) Previous publication(s) concerning the same contract**

no

###### **IV.3.3) Conditions for obtaining specifications and additional documents or descriptive document**

Payable documents: no

###### **IV.3.4) Time limit for receipt of tenders or requests to participate**

21.10.2015

###### **IV.3.5) Date of dispatch of invitations to tender or to participate to selected candidates**

###### **IV.3.6) Language(s) in which tenders or requests to participate may be drawn up**

English.

###### **IV.3.7) Minimum time frame during which the tenderer must maintain the tender**

###### **IV.3.8) Conditions for opening of tenders**

## **Section VI: Complementary information**

### **VI.1) Information about recurrence**

This is a recurrent procurement: no

### **VI.2) Information about European Union funds**

The contract is related to a project and/or programme financed by European Union funds: no

### **VI.3) Additional information**

The documentation for this procurement can be downloaded from an Internet platform. If you are interested in participating in the procurement procedure please register via the Internet platform using the following Internet address, user name and password:

Internet address: <https://www.ecb.europa.eu/secure/procurement/>

User ID: 000967/S/ETS/2015

Password: 0A9E76

After you have registered an e-mail will be sent to you with a new user name and password. The Internet address will remain the same. Please use the new user name and password to download the application/tender documentation.

However, your mere registration and downloading the documentation does not constitute an application/tender. You must submit your application/tender in hard copy version to the ECB, on time, in the format requested and including the content as further instructed in the application/tender documentation.

Should you experience any problems in accessing the Internet platform for registration and/or downloading the application/tender documentation please do not hesitate to contact the ECB at the following e-mail address: [procurement@ecb.europa.eu](mailto:procurement@ecb.europa.eu) quoting the procurement number and problem experienced.

The ECB shall endeavour to answer all queries concerning access as quickly as possible but cannot guarantee a minimum time response. The ECB shall not be bound to reply to queries received less than 7 calendar days before the time limit for the submission of tenders.

The tender procedure shall be open on equal terms to all natural or legal persons resident or located in the European Union and to all natural and legal persons resident or located in a country which has ratified the World Trade Organisation Agreement on Government Procurement or has concluded with the European Union a bilateral agreement on procurement under the conditions laid down in the said agreements.

The tender procedure is conducted in accordance with Decision of the European Central Bank of 3.7.2007 laying down the Rules on Procurement (ECB/2007/5) (as amended), available on the ECB website at <http://www.ecb.europa.eu> (please look for the link 'for suppliers').

During the tender procedure tenderers shall not contact any other ECB staff members or organisations/persons working for the ECB with regard to this tender procedure other than the person indicated in Section I.1).

Tenderers shall also not contact potential competitors unless they intend to form a temporary grouping with them or to involve them as subcontractors. Any violation of this communication rule may lead to the exclusion of the tenderer in question.

The data for both lots of the procurement at stake are required to conduct the ECB's and ESCB's statutory tasks according to the Treaty on the Functioning of the European Union (TFEU) and the Statute of the European System of Central Banks and of the European Central Bank (Protocol No 4 to the TFEU, OJ C 326, 26.10.2012, p. 230) and banking supervision tasks according to Council Regulation (EU) No 1024/2013 of 15.10.2013 for end users in both the ECB and/or the ESCB and the participating NCAs.

### **VI.4) Procedures for appeal**

#### **VI.4.1) Body responsible for appeal procedures**

Procurement Review Body of the European Central Bank, c/o Legal Advice Team  
Sonnemannstraße 22

60314 Frankfurt-on-Main  
GERMANY  
Telephone: +49 6913440  
Internet address: <http://www.ecb.europa.eu>  
Fax: +49 6913446886

**Body responsible for mediation procedures**

European Ombudsman  
1 avenue du Président Robert Schuman  
67001 Strasbourg  
FRANCE

VI.4.2) **Lodging of appeals**

Precise information on deadline(s) for lodging appeals: 15 days from the receipt of the information specified in Article 28(3) of Decision of the European Central Bank of 3.7.2007 laying down the Rules on Procurement (ECB/2007/5) or, if no information is requested, 15 days from the receipt of the notification to unsuccessful tenderers. Further requirements are outlined in Article 33 of this Decision. A complaint to the European Ombudsman does not affect the deadline for lodging appeals.

VI.4.3) **Service from which information about the lodging of appeals may be obtained**

Central Procurement Office  
Sonnemannstraße 22  
60314 Frankfurt-on-Main  
GERMANY  
Telephone: +49 6913440

VI.5) **Date of dispatch of this notice:**

30.9.2015